

ORIGINAL

1 **CRPORATION COMMISSION** 2 WILLIAM A. MUNDELL **CHAIRMAN** 3 JIM IRVIN COMMISSIONER 4 MARC SPITZER COMMISSIONER 5 IN THE MATTER OF: 6 SCOTTSDALE FINANCIAL FUNDING GROUP, 7 4000 North Scottsdale Road 8 Scottsdale, AZ 85251 MARTIN & GRIFFIN, LLC 4000 North Scottsdale Road 10 Scottsdale, AZ 85251 11 GREGORY B. GILL aka GREGORY P. GILL 4015 N. 78th Street, #141 12 Scottsdale, AZ 85251 13 HAYDEN KEITH HOLLAND 5618 E. Montecito 14 Phoenix, AZ 85018-3223 15 TAD L. ULRICH & ASSOCIATES, LLC 13386 North 88th Place 16 Scottsdale, AZ 85260 17 TAD LYN ULRICH 13386 North 88th Place 18 Scottsdale, AZ 85260 19 SENIOR ADVISORY SERVICES, LLC 1401 Kimdale Street 20 Lehigh Acres, Florida 33936 21 WALLACE BUTTERWORTH 1411 East Orangewood Avenue, #239 22 Phoenix, AZ 85020

Respondents.

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AZ CORP COMMISSION **DOCUMENT CONTROL**

DOCKET NO. S-03472A-02-0000

Arizona Corporation Commission DOCKETED

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FOURTH PROCEDURAL ORDER

BY THE COMMISSION:

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On March 29, 2002, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing Regarding Proposed Order for Relief ("Notice") against Scottsdale Financial Funding, LLC ("SFF"), Martin & Griffin, LLC ^

("M&G"), Gregory B. Gill aka Gregory P. Gill, Hayden Keith Holland, Tad L. Ulrich & Associates, LLC ("TUA"), Tad Lyn Ulrich, Senior Advisory Services, LLC ("SAS") and Wallace Butterworth, (collectively the "Respondents") in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of membership interests in limited liability companies ("LLCs") and investment contracts.

Respondents were duly served with copies of the Notice.

On April 5, 2002, Respondents SFF, Hayden Holland, SAS, Wallace Butterworth, Tad L. Ulrich & Associates, LLC ("TUA") and Tad Lyn Ulrich filed requests for hearing.

On April 12, 2002, Respondents M&G and Gregory Gill filed requests for hearing.

On April 17, 2002, by Procedural Order, the Commission scheduled a pre-hearing conference on May 9, 2002 on the allegations raised by the Notice.

On April 22, 2002, the Division and Respondents requested a continuance due to scheduling conflicts.

April 23, 2002, by Procedural Order, a pre-hearing conference was continued to May 17, 2002.

May 17, 2002, at the pre-hearing conference, the Respondents and the Division appeared through counsel. The scheduling of the evidentiary portion of the proceeding and the possible length of the hearing were discussed. The Respondents and the Division agreed to the filing of a Consent Temporary Cease and Desist Order ("C&D") with respect to the offer and sale of securities as alleged in the Notice pending the final disposition of this proceeding by the Commission. The parties further agreed that an additional pre-hearing conference would be required and stipulated to an additional pre-hearing being scheduled on July 25, 2002.

On May 20, 2002, by Procedural Order, the Commission Ordered that an additional prehearing conference be scheduled.

On July 25, 2002, at the pre-hearing conference, further procedural and discovery matters were addressed as well as scheduling concerns. Problems in concluding the Temporary C&D were also discussed. The Division requested a hearing be scheduled and the parties agreed to a status conference being scheduled on September 26, 2002. The parties also agreed that the hearing on the

1	allegations contained in the Notice begin on November 4, 2002.
2	Accordingly, a status conference and hearing should be scheduled.
3	IT IS THEREFORE ORDERED that a status conference shall be held on September 26, 2002
4	at 9:30 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona.
5	IT IS FURTHER ORDERED that a hearing shall be held on November 4, 2002 at 9:30 a.m.
6	IT IS FURTHER ORDERED that the parties shall also reserve November 5, 6, 7, 11, 12, 13,
7	14, 18, 19, 20 and 21 for additional hearing dates, if necessary.
8	IT IS FURTHER ORDERED that the parties shall exchange witness and exhibit lists not later
9	than 14 days before hearing with copies being provided to the presiding Administrative Law Judge.
10	DATED thisday of July, 2002.
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12	11/19/
13	MARC E. STERN
14	ADMINISTRATIVE LAW JUDGE
15	Copies of the foregoing mailed/delivered
16	this day of July, 2002 to:
17	Charles W. Arnold ARNOLD & COWAN
18	The Ridgely House 190 Market Street
19	Lexington, KY 40507
20	Bryan F. Murphy BURCH & CRACCHIOLO, P.A.
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22	and William Foreman
23	7272 East Indian School Road, Ste. 203 Scottsdale, AZ 85251
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26	Bryan Cave, LLP Two North Central Avenue, Ste. 2200
27	Phoenix, AZ 85004 Attorneys for Martin & Griffin, LLC and Gregory B. Gill, aka Gregory P. Gill
28	

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14	- my
15	By: Molly Johnson
16	Secretary to Marc E. Stern
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